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PATENT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Masaaki YASUKAWA, et al.

Serial No.: 09/928,383

Group No.: 2675

Filed: August 13, 2001

Examiner: Dennis-Doon Chow

For: HEAD-MOUNTED IMAGE DISPLAY DEVICE AND DATA
PROCESSING APPARATUS INCLUDING THE SAME

Attorney Docket No.: U 013597-8

Commissioner of Patents and Trademarks
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

In accordance with Applicants' duty of disclosure under 37 CFR
1.56 and pursuant to sections 1.97 and 1.98, applicants would like to make of
record the citations attached hereto and listed on attached form PTO 1449.

Respectfully submitted,

JULIAN COHEN
c/o LADAS & PARRY
26 WEST 61st STREET
NEW YORK, N.Y. 10023

CERTIFICATE OF MAILING (37 CFR 1.8a) Reg. No. 20302 (212) 708-1887

I hereby certify that this paper (along with any paper referred to as being
attached or enclosed) is being deposited with the United States Postal Service
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(Type or print name of person mailing paper)

Date: November 14, 2002

11/20/2002 WARDL1 00000034 09928383

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Attorney's Docket No. U-013597-8

**PATENT
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 CFR 1.97 (c))**

NOTE: An information disclosure statement shall be considered by the Office if filed . . . before the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first, provided the statement is accompanied by either a certification as specified in paragraph (e) of this section or the fee set forth in § 1.17(p). " 37 CFR 1.97(c).

NOTE: "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "If information submitted during the period set forth in 37 CFR 1.97(c) with a certification is used in a new ground of rejection on unamended claims, the next Office action will not be made final since in this situation it is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a final determination on patentability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, if the new ground of rejection was necessitated by amendment of the application by applicant. Where the information is submitted during this period with a fee, the examiner may use the information submitted, e.g., printed publication or evidence of public use, and make the next Office action final whether or not the claims have been amended, provided that no other new ground of rejection which was not necessitated by amendment to the claims is introduced by the examiner. See MPEP 706.07(a). If a new ground of rejection is introduced that is neither necessitated by an amendment to the claims nor based on the information submitted with the fee set forth in 37 CFR 1.17(p), the Office action shall not be made final.: Notice of April 20, 1992 (1138 O.G. 37-41, 39).

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

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Signature

JULIAN H. COHEN

Date: November 14, 2002

(type or print name of person
certifying)
(Transmittal of Information Disclosure
Statement before Mailing Date of
Either a Final Action Or Notice of
Allowance [6-4]—page 1 of 3)

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 CFR 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but **before** the mailing date of either:
- (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311,
whichever occurs first.

CERTIFICATION OR FEE

2. Accompanying this transmittal is

(check either A or B below)

- A. ☐ a certification as specified in 37 CFR 1.97(e).

OR

- B. ☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00)

FEE PAYMENT

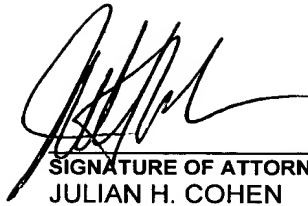
(complete this item, if applicable)

3. Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00)

Fee due \$ 180.00

METHOD OF PAYMENT OF FEE

- 4.
- ☒ Attached is a check in the amount of \$ 180.00
 - ☐ Charge Account No. 12-0425 in the amount of \$ _____
A duplicate of this request is attached
If any additional fees are due, please charge Account 12-0425.



SIGNATURE OF ATTORNEY

JULIAN H. COHEN

Type or print name of attorney

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